

# Controlled Unclassified Information

Shared • Standardized • Transparent

Program Update: February 15, 2017

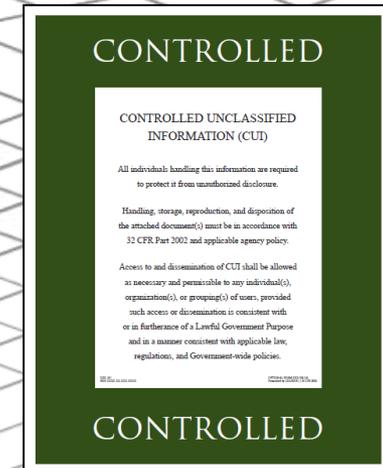
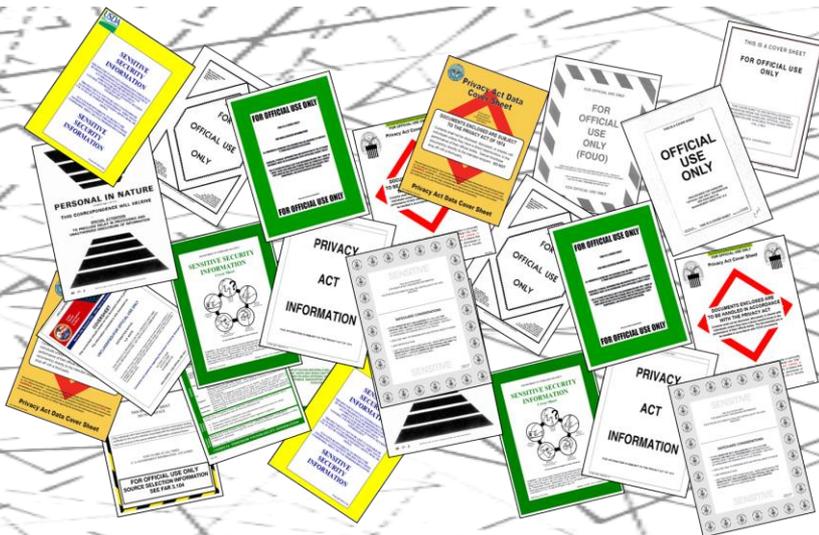
Information Security Oversight Office (ISOO)





# Information Security Reform

- Clarifies and limits what to protect
- Defines safeguarding
- Promotes authorized information sharing
- Reinforces existing legislation and regulations



# Protection is defined under the CUI Program

NIST Special Publication 800-171  
Revision 1

**Protecting Controlled Unclassified Information in Nonfederal Systems and Organizations**

[www.archives.gov/cui](http://www.archives.gov/cui)

**Controlled Unclassified Information (CUI)**

Home > CUI

Established by Executive Order 13526, the Controlled Unclassified Information (CUI) program standardizes the way the Executive branch handles unclassified information that requires safeguarding or dissemination controls pursuant to and consistent with law, regulations, and Government-wide policies. [Learn About CUI](#)

**Registry**

The CUI Registry is the authoritative source for guidance regarding CUI policies and practices.

Search the Registry:

Access Registry by

- Category/Subcategory
- Executive Order 13526
- 32 CFR Part 2002 (Implementing Regulation)
- CUI Notices
- Additional Information
- CUI Glossary

**Under Development - Registry**

- Marking Handbook
- Markings
- Limited Dissemination
- Decentral

**Training**

Learn about training developed by the Executive Agent for CUI users

- CUI Training Modules

**Oversight**

Learn about CUI oversight requirements and tools

- CUI Reports

CUI Registry

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Available for charge from:  
#10.650/NIST.SP.800-171r1

December 2016



**FEDERAL REGISTER**

Vol. 81      Wednesday,  
No. 178      September 14, 2016

Part IV

National Archives and Records Administration  
Information Security Oversight Office  
32 CFR Part 2002  
Controlled Unclassified Information; Final Rule

**63340 Federal Register / Vol. 81, No. 178 / Wednesday, September 14, 2016 / Rules and Regulations**

(12) Establishes a mechanism by which authorized leaders (both inside and outside the agency) can create a designated agency representative for CUI.

(13) Agencies may use only those categories or subcategories approved by the CUI E.A. and published in the CUI Registry to designate information as CUI.

Specified standards and may apply limited dissemination controls listed in the CUI Registry to ensure they treat the information in accord with the CUI.

**63330 Federal Register**

**List of Subjects in:**

Administrative procedures, Archives, Controlled information, Freedom of information, the Statistic Act, intelligence, national security, National Open government.

For the reason of protection, NARA is Chapter XX by and as follows:

**PART 2002—CONTROLLED UNCLASSIFIED INFORMATION**

**Subpart A—General**

2002.1 Purpose and scope

2002.2 Interpretation

2002.3 Definitions

2002.6 CUI Elements

2002.9 Rules and regulations

**Subpart B—Key Elements**

2002.10 The CUI Element

2002.11 CUI categories

2002.14 Subcategories

2002.16 Accounting

2002.18 Declassification

2002.20 Marking

2002.22 Limited dissemination

2002.24 Agency use

**Subpart C—CUI Program**

2002.30 Elements

2002.32 CUI owner

2002.33 Transmittal

2002.36 Legacy systems

2002.37 Whistleblowers

2002.44 CUI and declassification

2002.45 CUI and the President Act

2002.46 CUI and the President Act

2002.50 Challenges

2002.52 Dispute resolution

2002.54 Misuse of CUI

2002.58 Sanctions

**Appendix A to Part 2002—Authority: E.O. 13526, 68 FR 52951, 68 FR 52952.**

**Subpart A—General**

**§ 2002.1 Purpose and scope**

(a) This part describes the Controlled Unclassified Information (CUI) Program and establishes the categories, subcategories, and elements of CUI.

(b) The CUI Program is the mechanism by which information that requires protection under laws, regulations, or Government-wide policies, but that does not qualify as classified under Executive Order 13526, is designated as CUI.

(c) NARA incorporates certain material by reference into this part with the approval of the Director of the Federal Register under 5 U.S.C. 552(a).

**§ 2002.2 Definitions.**

As used in this part:

(1) Agency is the Federal agency, executive agency, executive branch

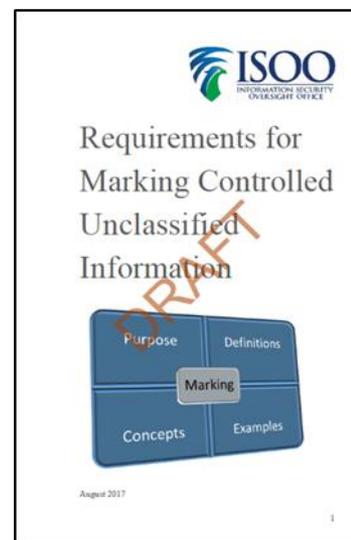
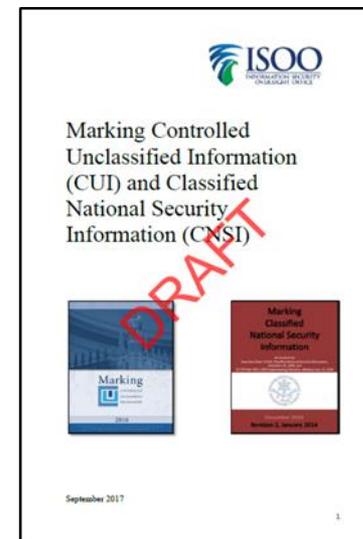
# Implementation Projection

- 3-4 Years for full implementation
  - Resource dependent
  - **Policy**, Training, Physical Safeguarding, Systems, Contracts
- **CUI practices and Legacy practices will exist at the same time.**
  - Legacy practices will be phased out as agencies implement
- ISOO will begin assessing parent agencies in 2018



# Revision 2 of Marking Handbook

- Refinement of Language & Images
- Separating Chapters (ease of printing and use)
  - Requirements
  - Options (portions, coversheets)
  - Commingling
- Will address:
  - emails
  - FAQs
  - alternatives
- **Spring of 2018**



# Our Website: [www.archives.gov/cui](http://www.archives.gov/cui)

## CUI Registry

The CUI Registry is the Government-wide online repository for Federal-level guidance regarding CUI policy and practice. However, agency personnel and contractors should first consult their agency's CUI implementing policies and program management for guidance.

Search the Registry:

### Categories, Markings and Controls:

- [Category-Subcategory List](#)
- [Category-Subcategory Markings](#)
- [Limited Dissemination Controls](#)
- [Decontrol](#)
- [Registry Change Log](#)

### Policy and Guidance

- [Executive Order 13556](#)
- [32 CFR Part 2002](#)  (Implementing Directive)
- [CUI Marking Handbook](#) 
- [CUI Notices](#)

### CUI Glossary



[Use the CUI Logo](#)

[Contact Us](#)

## News and Notices

- [January 24, 2018 - New Policy and Guidance documents have been posted](#)
- [October 23, 2017 - The CUI Blog has been launched](#)
- [September 12, 2017 - New Training Tools have been posted](#)
- [September 12, 2017 - Destruction Labels have been posted](#)



## Training Tools

Learn about training tools developed by the Executive Agent for CUI users.



## Additional Tools

Learn about additional tools for handling CUI.

- [CUI Coversheets](#)
- [CUI Marking Trifold Brochure](#)
- [Audio/Photo/Video Markings Brochure](#)



## Oversight

Learn about CUI oversight requirements and tools.

# Our Website: CUI Policy and Guidance

- **CUI Executive Order**
- **CUI Implementing Regulation**
- **CUI Notices and Executive Agent Guidance**
- **NIST Publications**
  - The following National Institute of Standards and Technology (NIST) publications have been incorporated by reference into the CUI Implementing Directive, 32 CFR Part 2002, and should be consulted for guidance on implementing specific measures to safeguard CUI:
- **CUI Advisory Council**
  - The CUI EA established the CUI Advisory Council to carry out consultative functions directed by Executive Order 13556.
- **Additional Resources**
  - The CUI EA has developed recommendations to assist departments and agencies in development of their CUI programs and submission of information to the EA.

# Our Website: Some Key CUI Notices

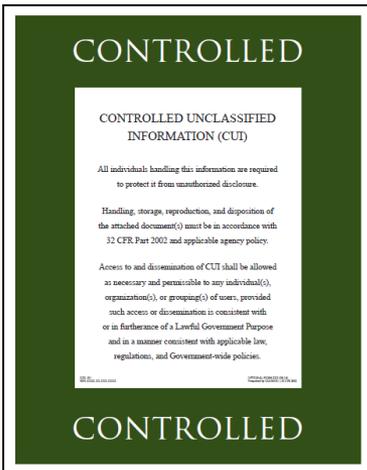
INFORMATION SECURITY OVERSIGHT OFFICE  
NATIONAL ARCHIVES *and* RECORDS ADMINISTRATION  
700 PENNSYLVANIA AVENUE, NW, ROOM 100 WASHINGTON, DC 20408-0001  
[www.archives.gov/isoo](http://www.archives.gov/isoo)



## **CUI Notice 2017-02: Controlled Unclassified Information (CUI) and Multi-Step Destruction**

- **Recommendations for CUI Basic Training, 2018-02**
- **Guidance for Drafting Agreements with Non-Executive Branch Entities involving CUI, 2018-01**
- **CUI and Multi-Step Destruction Process, 2017-02**
- **Implementation Recommendations for the CUI Program, 2017-01**
- **Implementation Guidance for the CUI Program, 2016-01**
- **Revised Guidance regarding CUI and the FOIA, 2014**
- **Provisional Approval of Proposed CUI Categories and Subcategories, 2013-01**
- **Appointments of Senior Agency Official (SAO) and Program Manager (PM) for CUI Program Implementation, 2013**

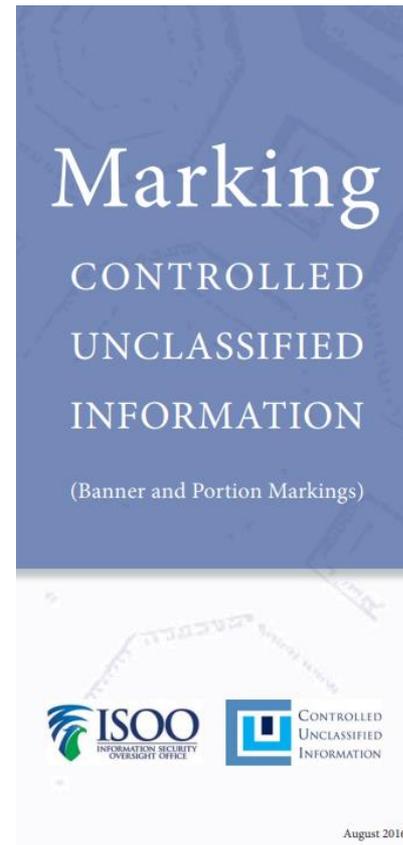
# Our Website: CUI: Additional Tools



## Controlled Unclassified Information (CUI) Audio, Photography, and Video Markings



700 Pennsylvania Ave, N.W., Room 100  
Washington, DC 20408-0001  
Phone: (202) 357-6870  
E-mail: cui@nara.gov



# Our Website: Training Videos

- Controlled Environments
- Decontrolling
- Destruction
- Lawful Government Purpose
- Intro to Marking
- Marking (non-traditional)
- Unauthorized Disclosures
- **New Video: CUI Overview**
- **In Development:**  
FOIA and CUI (Mar 2018)

Select the respective title for PowerPoint presentation or the video link to learn about a specific element of the CUI Program:

<p><b>Controlled Environments</b> describes the requirements for storing CUI in physical and electronic environments.</p>  <p>Transcript Download mp4 video</p>	<p><b>Decontrolling</b> describes the requirements for decontrolling CUI.</p>  <p>Transcript Download mp4 video</p>
<p><b>Destruction</b> describes the requirements for destroying CUI.</p>  <p>Transcript Download mp4 video</p>	<p><b>Lawful Government Purpose</b> describes concept of Lawful Government Purpose and the sharing requirements related to CUI.</p>  <p>Transcript Download mp4 video</p>
<p><b>Introduction to Marking</b> provides an introduction and addresses the requirements for marking CUI.</p>  <p>Transcript Download mp4 video</p>	<p><b>Marking: Non-Traditional</b> addresses the various ways to mark or identify CUI.</p>  <p>Transcript Download mp4 video</p>

## Training Tools Downloads Include:

- Video File(mp4)
- Transcript (pdf)
- PowerPoint w/ talking points (pdf)

# CUI Blog = Updates Available



CONTROLLED  
UNCLASSIFIED  
INFORMATION

THE NATIONAL ARCHIVES  
CUI PROGRAM BLOG

[Home](#) [About the Blog](#) [About the Bloggers](#) [Comment and Posting Policy](#) [CUI: The High Notes](#)

- FAQs
- Registry Redesign
  - ✓ Types and Categories
- Next Webex:
  - ✓ May 15, 2018
  - ✓ 1-3 EDT



Follow Blog via Email  
Click to follow this blog and receive notifications of new posts by email.

Upcoming Events  
No upcoming events

Archives  
 ▾

Topics

- Common questions
- CUI Registry
- Events & reviews
- FOIA & Privacy Act
- General updates
- Marking & examples

# Federal Acquisition Regulation (FY19)

Will standardize the way the Executive branch conveys safeguarding guidance



# Questions & Answers

